

Customer No.	026418	
Prepared from FORM PTO-1390	Transmittal Letter to the United States Designated/Elected Office (DO/EO/US)	
Attorney's Docket No.:	JG-SU-5224/500577.20073	
U.S. Application No.:	10/562235	
International Application No.:	PCT/JP2005/006268	
International Filing Date:	MARCH 31, 2005	31 MARCH 2005
Priority Date Claimed:	APRIL 05, 2004	04 APRIL 2004
Title of Invention:	MANUFACTURING METHOD OF SEMICONDUCTOR WAFER AND SEMICONDUCTOR WAFER MANUFACTURED BY THIS METHOD	
Applicant(s) for (DO/EO/US):	Syouji NOGAMI, Yukichi HORIOKA, and Shoichi YAMAUCHI	

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.  
☐ 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.  
☐ 3. This express request to begin national examination procedures [35 U.S.C. 371 (f)]. The submission must include items (5), (6), (9), and (21) indicated below.  
☐ 4. The US has been elected (Article 31).  
☒ 5. A copy of **Publication No. WO 2005/098912 20OCT05** the International Application as filed [35 U.S.C. 371(c)(2)]  
     a) ☒ is attached hereto (required only if not communicated by the International Bureau).  
     b) ☐ has been communicated by the international Bureau.  
     c) ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)  
☒ 6. An English language translation of **Publication No. WO 2005/098912 20OCT05** the International Application as filed [35 U.S.C. 371(c)(2)]  
     a) ☒ is attached hereto  
     b) ☐ has been previously submitted under 35 U.S.C. 154(d)(4).  
☐ 7. Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]  
     a) ☐ are attached hereto (required only if not communicated by the International Bureau).  
     b) ☐ have been communicated by the international Bureau.  
     c) ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.  
     d) ☐ have not been made and will not be made  
☐ 8. An English language translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]  
☐ 9. An EXECUTED Oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)] **TO FOLLOW LATER**  
☐ 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)]

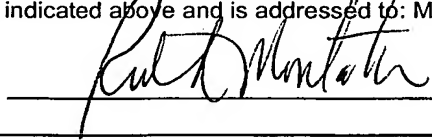
Items 11. to 20. Below concern other document(s) or information included:

- ☒ 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98  
☐ 12. An Assignment document for recording. A separate cover sheet (PTO-1595) in compliance with 37 CFR 3.28 and 3.31 is included.  
☒ 13. A preliminary amendment  
☐ 14. An Application Data Sheet under 37 CFR 1.76  
☒ 15. A substitute specification with abstract (attached to the preliminary amendment)  
☐ 16. A change of power of attorney and/or address letter  
☐ 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.  
☐ 18. A second copy of the published International Application under 35 U.S.C. 154 (d)(4).  
☐ 19. A second copy of the English language translation of the International Application under 35 U.S.C. 154 (d)(4).  
☒ 20. (other items or information) **PCT forms: PCT easy in English and Japanese, PCT/IB/301 13MAY05, PCT/IB/304 24JUN05, PCT/IB/308 10NOV05, Japanese Search Report: PCT/ISA/220, 210 and 237 09AUG05, PTO-1449 w/3 documents**

EXPRESS MAIL No.: EL 962 858 663 US

Deposited: December 22, 2005

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 1450



/Ruth Montalvo Date: December 22, 2005

10/562235

IAP9 Rec'd PCT/PTO

22 DEC 2009

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U.S. Application No. (if known, see 37 C.F.R. 1.50):

International Application No.: **PCT/JP2005/006268**

Attorney's Docket No: JG-SU-5224/500577.20074

The following fees are submitted:

					Applicant use	Office use only
<input checked="" type="checkbox"/>	21	Basic national fee (37 CFR 1.492(a))			\$ 300.00	\$ 300.00
<input checked="" type="checkbox"/>	22	Examination fee (37 CFR 1.492(b)) If the written opinion prepared by ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Articles 33(1)-(4) \$ 0.00 All other situations..... \$200.00			\$ 200.00	
<input checked="" type="checkbox"/>	23	Search fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the International Preliminary Examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Articles 33(1)-(4) \$ 0.00 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100.00 International Searching Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by IB \$400.00 All other situations..... \$500.00			\$ 500.00	
<b>TOTAL OF ABOVE CALCULATIONS:</b>					<b>\$1,000.00</b>	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (b) or computer program listing filed in an electronic medium) 37 CFR 1.492(j)). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.						
Total sheets		Extra sheets		Number of each additional 50 or fraction thereof (round up to a whole number.	Rate	
- 100 =		/50 =			X \$250.00	\$
Surcharge of \$ 130.00 for furnishing the oath or declaration later than 30 month from the earliest claimed priority date (37 CFR 1.492(e))					\$	
Claims		Number Filed		Number Extra	Rate	
Total Claims:		24		- 20	4	X \$ 50.00
Independent Claims:		1		- 3	0	X \$ 200.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)					+ \$ 360.00	\$
<b>TOTAL OF ABOVE CALCULATIONS:</b>					<b>\$1,200.00</b>	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date [37 CFR 1.492(e)]					+	\$
<b>TOTAL OF ABOVE CALCULATIONS:</b>					<b>\$1,200.00</b>	
<input type="checkbox"/> Applicant claims Small Entity Status [See 37 CFR 1.27] Reduction by 1/2 for filing by small entity					-	
<b>SUBTOTAL:</b>					<b>\$1,200.00</b>	
Processing fee of \$130.00 for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date [37 CFR 1.492(f)]					+	
<b>TOTAL NATIONAL FEE:</b>					<b>\$1,200.00</b>	
Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be accompanied by an appropriate cover sheet (PTO-1595) [37 CFR 3.28, 3.31]. \$ 40.00 per property					+	\$
<b>TOTAL FEE(S):</b>					<b>\$1,200.00</b>	
<b>AMOUNT TO BE REFUNDED</b>						\$
<b>AMOUNT TO BE CHARGED</b>						\$

(Please note the filing fee is based on the claims in the Preliminary Amendment)

- a) ☒ Check in the amount of \$1,200.00 to cover the above fees is enclosed.
- b) ☐ Please charge my Deposit Account No 50-1529 in the amount of \$ .00 to cover the fee..
- c) ☒ The Commissioner is hereby authorized to charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 50-1529.)
- d) ☐ Fee are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a) or (b)] must be filed and granted to restore the application to pending status.

## SEND ALL CORRESPONDENCE TO:

Jules E. Goldberg, Esq.  
Reed Smith LLP (Customer No. 026418)  
599 Lexington Avenue  
New York, NY 10022-7650

Signature:

Attorney:

Reg. No.

Date:

Jules E. Goldberg

24,08

December 22, 2005

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE		
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**VERIFIED  
 ENGLISH  
 TRANSLATION  
 OF  
 PUBLICATION NO.  
 WO 2005/073440  
 Published on August 9, 2005**

BEST AVAILABLE COPY

EXPRESS MAIL No.: EL 962 858 663 US' December 22, 2005  
Box PCT Entering Nat'l Phase Due Date: OCTOBER 05, 2006

JG-SU-5224/500577.20073 Nat'l Ph of PCT/JP2004/015999 28OCT04  
Syouji NOGAMI, Yukichi HORIOKA, and Shoichi YAMAUCHI  
MANUFACTURING METHOD OF SEMICONDUCTOR WAFER AND  
SEMICONDUCTOR WAFER MANUFACTURED BY THIS METHOD

This is to acknowledge receipt of the following:

Check for \$ 1,000.00 # 882650; PTO-1390; Verified English  
Translation of Publication No. WO 2005/098912, Preliminary Amendment  
(#24/1) w/Substitute Specification & Abstract and Marked-up/Bolded Versions;  
Publication No. WO 2005/098912 20OCT05, IDS, Search Report: PCT/ISA/210 &  
237 09AUG05, PTO-1449 w/3 Documents: PCT forms: PCT easy in English and  
Japanese, PCT/IB/301 13MAY05, PCT/IB/304 24JUN05, PCT/IB/308 10NOV05.

EXECUTED Declaration/POA - TO FOLLOW LATER

IP3 Rec'd PCT/PTO 22 DEC 2005